

## SOME ALGEBRAS OF BOUNDED FUNCTIONS ON THE DISC

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**ABSTRACT.** Let  $B$  be a uniformly closed algebra of functions on the unit circle  $\partial D$  between  $H^\infty$  and  $L^\infty$ , and let  $C_B$  be the  $C^*$ -algebra generated by those Blaschke products that are invertible in  $B$ . Let  $A$  be the algebra of bounded holomorphic functions on the open unit disc  $D$  whose boundary value functions are in  $C_B$ . It is shown that if  $f$  is a bounded harmonic nonholomorphic function on  $D$  whose boundary value function is also in  $C_B$ , then the uniformly closed algebra  $A[f]$  generated by  $A$  and  $f$  contains  $C(\overline{D})$ . This generalizes an earlier result of the author, which in turn contains as special cases a result on the disc algebra due to Čirca and a result on  $H^\infty(D)$  due to Axler and Shields.

**RÉSUMÉ.** Soit  $B$  une algèbre uniformément fermée de fonctions sur le cercle unité  $\partial D$  entre  $H^\infty$  et  $L^\infty$ , et soit  $C_B$  la  $C^*$ -algèbre générée par les produits de Blaschke qui soient invertibles dans  $B$ . Soit  $A$  l'algèbre des fonctions holomorphiques bornées sur le disque unité ouvert  $D$  dont les fonctions de valeur à la borne se trouvent dans  $C_B$ . Il est démontré que, si  $f$  est une fonction non-holomorphe harmonique bornée sur  $D$  dont la fonction de valeur à la borne est aussi dans  $C_B$ , alors l'algèbre uniformément fermée  $A[f]$  générée par  $A$  et  $f$  renferme  $C(\overline{D})$ . Ceci généralise un résultat antérieur de l'auteur qui, à son tour, contient, en tant que cas particuliers, un résultat concernant l'algèbre disc dû à Čirca et un résultat sur  $H^\infty(D)$  dû à Axler et Shields.

**1. The theorem.** Let  $D$  denote the open unit disc in the plane, let  $C(\overline{D})$  denote the algebra of all complex-valued continuous functions on  $\overline{D}$ , let  $A(D)$  denote the disc algebra (the algebra of holomorphic functions on  $D$  that extend continuously to  $\overline{D}$ ), and let  $H^\infty(D)$  denote the algebra of bounded holomorphic functions on  $D$ . A theorem of E. M. Čirca [Č] specialized to the disc asserts that if  $f$  is a function in  $C(\overline{D})$  and  $f$  is harmonic but not holomorphic on  $D$ , then the uniformly closed subalgebra  $A(D)[f]$  of  $C(\overline{D})$  generated by  $A(D)$  and  $f$  is equal to  $C(\overline{D})$ . There is an analogous result for  $H^\infty(D)$  due to Sheldon Axler and Allen Shields [A–S]: If  $f$  is a bounded function on  $D$  that is harmonic but not holomorphic, then the uniformly closed subalgebra  $H^\infty(D)[f]$  of  $L^\infty(D)$  generated by  $H^\infty(D)$  and  $f$  contains  $C(\overline{D})$ . Given the similarity between these two theorems it was natural to wonder whether they were in fact both special cases of a single more general theorem.

The most obvious way to try to unite the Čirca and Axler–Shields results would be to show that  $A(D)[f] \supset C(\overline{D})$  whenever  $f$  is a bounded harmonic nonholomorphic function on  $D$ . However, this is false; in fact, it is not even true

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that  $A(D)[f, \bar{f}] \supset C(\bar{D})$  whenever  $f \in H^\infty(D)$  [I1, Theorem 7.6]. Nevertheless, the author did unite the theorems of Ćirca and Axler and Shields into a single concrete theorem by interpolating between them [I2, Theorem 1.1]: If  $E$  is a subset of  $\partial D$ , if  $A$  is the algebra of bounded holomorphic functions on  $D$  that extend continuously to  $D \cup E$ , and if  $f$  is a bounded harmonic nonholomorphic function on  $D$  that also extends continuously to  $D \cup E$ , then  $A[f] \supset C(\bar{D})$ .

In the present paper, we extend this result to a larger class of algebras. Throughout the paper,  $L^\infty$  will denote  $L^\infty(\partial D)$ , and  $H^\infty$  will denote the subalgebra of  $L^\infty$  consisting of those functions that arise as boundary values of functions in  $H^\infty(D)$ . In addition,  $B$  will always be an arbitrary, but fixed, uniformly closed algebra on the unit circle  $\partial D$  between  $H^\infty$  and  $L^\infty$ , and  $C_B$  will be the  $C^*$ -algebra (*i.e.*, uniformly closed self-adjoint unital algebra) generated by those Blaschke products that are invertible in  $B$ . (We assume that  $B$  is strictly larger than  $H^\infty$  so that  $C_B$  is nontrivial.) The algebras  $H^\infty \cap C_B$  were considered by Alice Chang and Donald Marshall [C–M] as generalizations of both the disc algebra and  $H^\infty$ . We will prove the following result.

**THEOREM 1.1.** *Let  $A$  be the algebra of bounded holomorphic functions on  $D$  whose boundary value functions are in  $C_B$ . Let  $f$  be a bounded harmonic nonholomorphic function on  $D$  whose boundary value function is also in  $C_B$ . Then  $A[f] \supset C(\bar{D})$ .*

For  $E$  a subset of  $\partial D$ , denote by  $L_E^\infty$  the set of  $L^\infty$  functions on  $\partial D$  that are continuous on  $E$ . Then  $H^\infty + L_E^\infty$  is a uniformly closed algebra and  $L_E^\infty = C_{(H^\infty + L_E^\infty)}$  [G, Exercise IX.15(c)]. Thus the earlier result of the author mentioned above is the special case of our new theorem when  $B = H^\infty + L_E^\infty$ . There is also a stronger form of the earlier result in which the function  $f$  is allowed to have discontinuities on a small subset of  $E$  [I2, Theorem 1.2]. That stronger result is not contained in the new theorem and will not be considered in this paper.

Considering the algebras  $C_B$  in the setting of [I2] was suggested to me by Donald Marshall, and I would like to thank him for the suggestion. The work was carried out while I was a visitor at Brown University. I would like to thank the Department of Mathematics for its hospitality.

**2. The proof.** The proof of Theorem 1.1 is similar to the proof of the earlier special case [I2, Theorem 1.1] mentioned in the introduction. In particular the theorem will be obtained as a consequence a characterization, due to the author, of the uniformly closed algebras of bounded continuous functions on a bounded open set  $\Omega \subset \mathbb{C}$  that contain  $C(\bar{\Omega})$ . Before giving the statement of the characterization we discuss some terminology and notation to be used throughout the paper.

By a uniformly closed algebra of bounded continuous functions on a space  $\Sigma$ , we mean a supremum-norm closed subalgebra of the algebra  $C_b(\Sigma)$  of all bounded continuous complex-valued functions on  $\Sigma$ . That is, by a uniformly closed algebra, we mean an algebra of complex-valued functions that forms a Banach

algebra under the supremum-norm. The maximal ideal space of such an algebra  $A$  will be denoted by  $\mathcal{M}_A$ . If  $A$  is such an algebra and  $f$  is a bounded continuous complex-valued function on  $\Sigma$ , we will denote by  $A[f]$  the uniformly closed algebra generated by  $A$  and  $f$ . Also  $r: \mathcal{M}_{A[f]} \rightarrow \mathcal{M}_A$  will denote the map sending each multiplicative linear functional on  $A[f]$  to its restriction to  $A$ . We will be concerned almost exclusively with uniformly closed algebras of bounded continuous functions on  $D$  that contain the disc algebra. The Gelfand transform of a function  $f$  in  $A$  will be denoted by  $\hat{f}$ . The Gelfand transform of the identity function  $z$  will be denoted by  $\pi$  or  $\pi_A$ .

Here now is the statement of the author's characterization mentioned above [I1, Theorem 3.1].

**THEOREM 2.1.** *Let  $\Omega$  be a bounded open set in the plane, and let  $A$  be a uniformly closed algebra of bounded continuous functions on  $\Omega$  that contains  $A(\Omega)$ . Then  $A \supset C(\bar{\Omega})$  if and only if both of the following conditions hold:*

- (i)  $\pi: \mathcal{M}_A \rightarrow \bar{\Omega}$  is one-to-one over  $\Omega$ , and
- (ii) for almost every point  $a$  in  $\Omega$  there is a function  $f$  in  $A$  that is differentiable at  $a$  and such that  $(\partial f / \partial \bar{z})(a) \neq 0$ .

We will also use the following lemma [I1, Lemma 6.1].

**LEMMA 2.2.** *Let  $\Sigma$  be a topological space and let  $A$  be a uniformly closed algebra of bounded continuous functions on  $\Sigma$  that separates points and contains the constants. Suppose that  $f$  is a function in the uniform closure of the complex-linear span of  $\log |A^{-1}|$ . Then the mapping  $r: \mathcal{M}_{A[f]} \rightarrow \mathcal{M}_A$  sending each multiplicative linear functional on  $\mathcal{M}_{A[f]}$  to its restriction to  $A$  is one-to-one.*

A subalgebra  $H$  of  $L^\infty$  is called *stable* if  $H$  satisfies the following condition: for each  $\lambda \in D$  and each function  $h \in H$ , the function

$$g = \frac{h - h(\lambda)}{z - \lambda}$$

is also in  $H$ . The following result is from David Dawson's dissertation [D, Theorem 5.4]. For the reader's convenience we include the short proof.

**THEOREM 2.3.** *Let  $S$  be a  $C^*$ -subalgebra of  $L^\infty$ , and let  $H = S \cap H^\infty$ . Then*

- (a) if  $f$  and  $g$  are in  $H$ ,  $g^{-1}$  is in  $L^\infty$ , and  $fg^{-1}$  is in  $H^\infty$ , then  $fg^{-1}$  is in  $H$ ;
- (b) if  $z$  is in  $S$ , then  $H$  is stable.

**PROOF.** Because  $S$  is a  $C^*$ -subalgebra of  $L^\infty$ , a function in  $S$  is invertible in  $S$  if and only if it is invertible in  $L^\infty$ . To prove (a), take  $f$  and  $g$  in  $H$  and suppose  $g^{-1}$  is in  $L^\infty$ . Then  $g^{-1}$  is in  $S$ , so  $fg^{-1}$  is also in  $S$ . Since  $fg^{-1}$  is assumed to be in  $H^\infty$ , this gives  $fg^{-1}$  is in  $H$ . Part (b) follows from part (a) by taking  $f = h - h(\lambda)$  and  $g = z - \lambda$ . ■

By [D, Theorem 2.3] for a stable algebra  $H$  the mapping  $\pi: \mathcal{M}_H \rightarrow \overline{D}$  is one-to-one over  $D$ . (The proof of this can also be found in [I2, Theorem 2.2].) Combining this with Theorem 2.3, we immediately obtain the following.

**COROLLARY 2.4.** *Let  $A$  be the algebra of bounded holomorphic functions on  $D$  whose boundary value functions are in  $C_B$ . Then  $\pi: \mathcal{M}_A \rightarrow \overline{D}$  is one-to-one over  $D$ .*

*Proof of Theorem 1.1* Since the set where the  $\bar{z}$ -derivative of a nonholomorphic harmonic function vanishes is discrete, to prove the theorem it is enough, by Theorem 2.1, to show that the mapping  $\pi_{A[f]}: \mathcal{M}_{A[f]} \rightarrow \overline{D}$  is one-to-one over  $D$ . By Corollary 2.4, the mapping  $\pi_A: \mathcal{M}_A \rightarrow \overline{D}$  is one-to-one over  $D$ . Thus, noting that  $\pi_{A[f]} = \pi_A \circ r$ , we see that it suffices to show that  $r$  is injective. By [C–M, Lemma 3.1],  $H^\infty \cap C_B$  is a logmodular subalgebra of  $C_B$ , that is every real-valued function in  $C_B$  is in the uniform closure of  $\log |(H^\infty \cap C_B)^{-1}|$ . It follows that  $f$  is in the uniform closure of the complex-linear span of  $\log |A^{-1}|$ . Hence  $r$  is injective by Lemma 2.2, and the proof is complete.

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